



Image 1763

PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of: |) | Group Art Unit: 1763 |
| Maximilian A. Biberger et al. |) | Examiner: Ram N. Kackar |
| Serial No.: 09/704,641 |) | <u>TRANSMITTAL LETTER</u> |
| Filed: November 1, 2000 |) | 162 N. Wolfe Road |
| For: METHOD AND APPARATUS FOR |) | Sunnyvale, CA 94086 |
| SUPERCritical PROCESSING |) | (408) 530-9700 |
| OF A WORKPIECE |) | Customer No.: 28960 |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 CFR § 1.97(e) for Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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HAVERSTOCK & OWENS LLP
Date: 12-11-03 By: [Signature]



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| Maximilian A. Biberger et al. |) | Examiner: Ram N. Kackar |
| Serial No.: 09/704,641 |) | <u>SUPPLEMENTAL INFORMATION</u> |
| Filed: November 1, 2000 |) | <u>DISCLOSURE STATEMENT</u> |
| For: METHOD AND APPARATUS FOR SUPERCRITICAL PROCESSING OF A WORKPIECE |) | 162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700 |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,672,204;
- U.S. Patent No. 5,817,178;
- U.S. Patent No. 6,508,259 B1; and
- Japanese Patent Abstract JP 61-017151.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP
Date: 12-11-03 By: [Signature]



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| Filed: November 1, 2000 |) | <u>SUPPLEMENTAL INFORMATION</u> |
| For: METHOD AND APPARATUS FOR |) | <u>DISCLOSURE STATEMENT UNDER 37</u> |
| SUPERCritical PROCESSING |) | <u>CFR § 1.97(e)</u> |
| OF A WORKPIECE |) | 162 North Wolfe Road |
| |) | Sunnyvale, CA 94086 |
| |) | (408) 530-9700 |
| <hr/> | | |
| Commissioner for Patents | | |
| P.O. Box 1450 | | |
| Alexandria, VA 22313-1450 | | |

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within the Official Actions mailed on October 31, 2003 and August 26, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

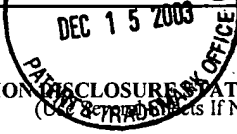
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HAVERSTOCK & OWENS LLP

Date: 12-11-03

By: [Signature]

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| FORM PTO-1449 (Modified) | |  | | U.S. Department of Commerce Patent and Trademark Office | | Attorney Docket No.: SSI-00700 | | Serial No.: 09/704,641 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Do Not Remove This Statement If Necessary)</small> | | | | Applicants: Maximilian A. Biberger et al. | | | | | |
| (37 CFR § 1.98(b)) | | | | Filing Date: November 1, 2000 | | | | Group Art Unit: 1763 | |
| U.S. PATENT DOCUMENTS | | | | | | | | | |
| Examiner Initials | | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date | | |
| | AA | 5,672,204 | 09/30/97 | Habuka | 117 | 204 | 04/25/96 | | |
| | AB | 5,817,178 | 10/06/98 | Mita et al. | 118 | 666 | 05/29/96 | | |
| | AC | 6,508,259 B1 | 01/21/03 | Tseronis et al. | 134 | 105 | 08/04/00 | | |
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| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | | | |
| | | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation | | |
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| | AG | 61-017151 | 01/25/86 | Japan | G03G | 5/082 | X | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | | |
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| Examiner: | | | | | Date Considered: | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | |